

DATE: November 15, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:	Group Art Unit: 2134
Dutta	:	Intellectual Property Law Department
Serial No.: 09/817,866	:	International Business
Filed: March 26, 2001	:	Machines Corporation
Title: <u>System and Method for Processing</u>	:	Intellectual Property Law Dept.
<u>Ticket Items with Customer Security</u>	:	11400 Burnet Road
<u>Features</u>	:	Austin, Texas 78758

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

The Commissioner of Patents  
Washington, D.C. 20231

Sir:

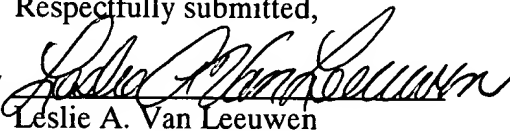
Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By

  
Leslie A. Van Leeuwen

Van Leeuwen & Van Leeuwen

Attorneys for Applicant

Registration No. 42,196

Telephone: (512) 301-6738

Facsimile: (512) 301-6742

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In Place of FORM PTO-1449 (Modified)

Serial No. 09/817,866

Applicant: Dutta

Filing Date: 3/26/2001

Group: 2134

Atty. Docket No. AUS920010230US1

**LIST OF PATENTS AND PUBLICATIONS  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

**U. S. PATENT DOCUMENTS**

Examiner <u>Initial</u>		Document <u>Number</u>	<u>Date</u>	<u>Name</u>	Classification <u>Class / Subclass</u>	Filing Date if <u>Appropriate</u>
_____	AA	6,609,659	08-2003	Sehr, Richard P.	235	384
_____	AB	6,779,720	08-2004	Lewis, Jon Karl	382	118
_____	AC					
_____	AD					
_____	AE					
_____	AF					
_____	AG					
_____	AH					
_____	AI					
_____	AJ					
_____	AK					
_____	AL					

**FOREIGN PATENT DOCUMENTS**

Examiner <u>Initial</u>		Document <u>Number</u>	<u>Date</u>	<u>Country</u>	Classification	Translation <u>Yes No</u>
_____	AM					
_____	AN					
_____	AO					
_____	AP					

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

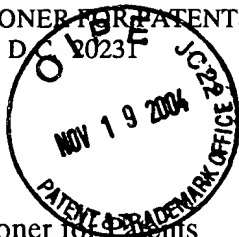
Examiner <u>Initial</u>	
_____	AQ Chan, Siu-cheung Charles, "Electronic Smart Passport/Visa," August 17, 1997, pp. 1-11.
_____	AR
_____	AS
_____	AT
_____	AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231



**SERIAL NO. 09/817,866**

IBM DOCKET NO. AUS920010230US1

DATE: November 15, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate of Mailing or Transmission**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

*Leslie A. Van Leeuwen* 11/15/04  
Leslie A. Van Leeuwen Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Dutta

For: System and Method for Processing Ticket Items with Customer Security Features

Enclosed are:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Modified form 1449 listing 3 references;
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This Supplemental IDS is being filed pursuant to CFR § 1.197(c) and:
  - ☒ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. **(a duplicate copy of this sheet is enclosed)**; and
  - ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. **A duplicate copy of this sheet is enclosed.**
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By

*Leslie A. Van Leeuwen*

Leslie A. Van Leeuwen

Attorney for Applicant

Registration No. 42,196

Telephone: (512) 301-6738

Facsimile: (512) 301-6742